

## 2. Sets and relations

### 2.1. Intuitive set theory

- Set  $S$  is a collection of elements
- $x \in S$  if  $x$  is an element of  $S$
- $x \notin S$  if  $x$  is not an element of  $S$
- Set  $S$  is called empty, in symbols  $\emptyset$ , if it has no element
- Description of sets

$$S = \{1, 2, 3\}$$

$$S = \{1, 2, 3, \dots\} \quad (\text{positive integers})$$

$$\emptyset = \{\}$$

$$\left. \begin{aligned} S &= \{x \mid P(x)\} \\ &= \{x : P(x)\} \end{aligned} \right\} \begin{array}{l} \text{set of all } x \text{ such that} \\ P(x) \text{ holds} \end{array}$$

$$S = \{x \mid x \text{ is a nonnegative real number}\} (= [0, \infty))$$

#### • Standard notation

- $\mathbb{N} = \{1, 2, 3, \dots\}$   
the set of all natural numbers

- $\mathbb{N}_0 = \{0, 1, 2, \dots\}$   
the set of all natural numbers with zero

the set of all **natural numbers**  
with **zero**

•  $\mathbb{Z} = \{ \dots, -2, -1, 0, 1, 2, \dots \}$   
the set of all **integers**

•  $\mathbb{Q} = \{ \frac{m}{n} \mid m \in \mathbb{Z}, n \in \mathbb{N} \}$   
the set of all **rational numbers**

•  $\mathbb{R}$  the set of all **real numbers**

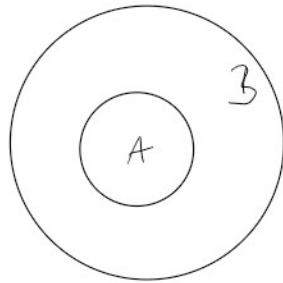
•  $\mathbb{C}$  the set of all **complex numbers**

Some definitions and conventions

•  $A = B \stackrel{\text{def}}{=} \{ x \in A \Leftrightarrow x \in B \}$

the sets **are equal** if they have same elements.

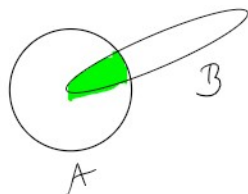
•  $A \subseteq B$  **A is a subset of B** if  $x \in A \Rightarrow x \in B$



A is a **proper subset** if  $A \subseteq B$  and  $A \neq \emptyset, A \neq B$ .

• Intersection of sets

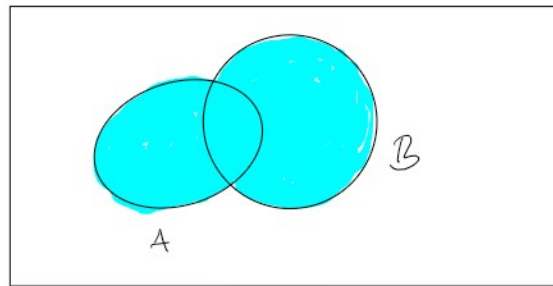
$$A \cap B = \{ x \mid x \in A \wedge x \in B \}$$



If  $A \cap B = \emptyset$  we call  $A$  and  $B$  disjoint

• Union

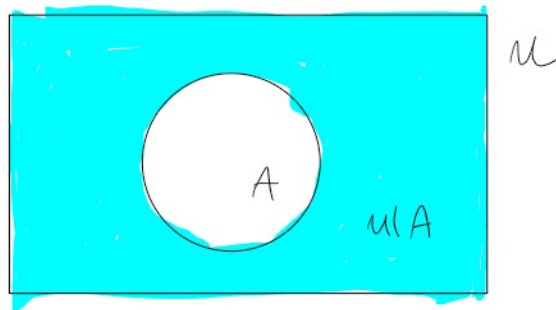
$$A \cup B = \{x \mid x \in A \vee x \in B\}$$



$U$  - universal set

• Complement of  $A$  (relative to  $U$ )

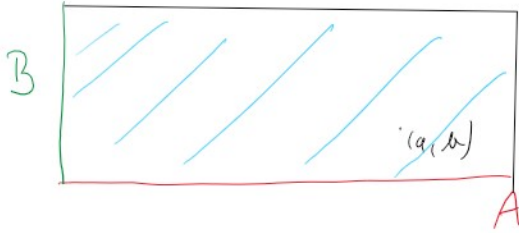
$$U \setminus A = A^c = \{x \in U \mid x \notin A\}$$



• Cartesian product

$$A \times B = \{(a, b) \mid a \in A, b \in B\}$$

↓  
ordered pair



extends to  $A_1 \times A_2 \times \dots \times A_n$   
 $= \{ (a_1, a_2, \dots, a_n) \mid a_i \in A_i, \dots, a_n \in A_n \}$

$$A^n = \underbrace{A \times A \times \dots \times A}_{n\text{-times}}$$

Examples:  $\mathbb{R}^2$  - plane  
 $\mathbb{R}^3$  - space

• Power set

$$\mathcal{P}(A) = \{ B \mid B \subseteq A \}$$

Example:  $A = \{1, 2, 3\}$

$$\mathcal{P}(A) = \{ \emptyset, A, \{1\}, \{2\}, \{3\}, \{1, 2\}, \{1, 3\}, \{2, 3\} \}$$

Notice that

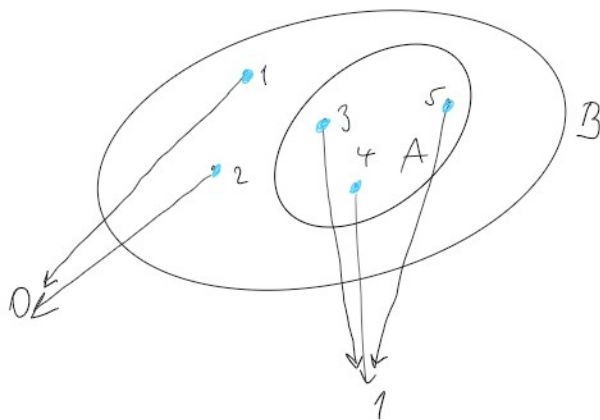
$$\# \mathcal{P}(A) = 2^{\#A}$$

Hint: Encode each subset of  $A$  by a sequence of 0's and 1's of length  $\#A$ .

- If  $A \subseteq B$  we define characteristic (indicator) function (relative to  $B$ )  

$$\chi_A(x) = \begin{cases} 1 & x \in A \\ 0 & x \notin A \end{cases}$$

- functions  $f: A \rightarrow \{0,1\} \longleftrightarrow$  subsets of  $B$



representing  
sequence

$(0, 0, 1, 1, 1)$

- size of cartesian product

$$\#(A_1 \times A_2 \times \dots \times A_n) = \#A_1 \cdot \#A_2 \cdot \dots \cdot \#A_n$$

Number theory

## 2.2. Binary relations

Definition: Let  $A$  and  $B$  be sets.

A **(binary) relation from  $A$  to  $B$**

is the set of ordered pairs

$$R \subseteq A \times B$$

In symbols:  $(a,b) \in R \equiv aRb$

Example: •  $A = \{1, 2, 3\}$

$$B = \{1, 2\}$$

$$R = \{(1,2), (2,2)\}$$

$$1R2$$

$$2R2$$

•  $A = B = \text{all people}$

$aRb \equiv a \text{ is parent of } b$

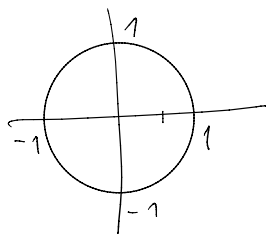
•  $A = B = \mathcal{P}(X)$

$$CRD \equiv C \subseteq D$$

•  $A = B = \mathbb{R}$

$$xRy \stackrel{\text{def}}{\Leftrightarrow} x^2 + y^2 = 1$$

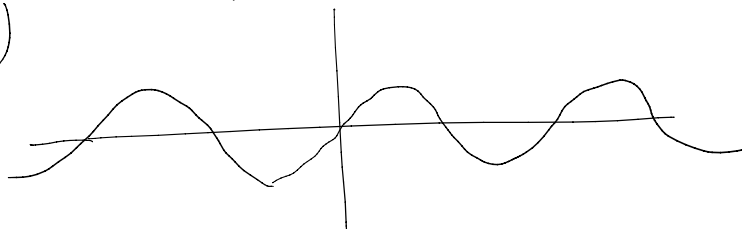
(\*)



•  $A = B = \mathbb{R}$

$$xRy \Leftrightarrow y = \sin x$$

(\*\*)



Def: If  $R \subseteq A \times B$  is a relation, we define its inverse

$$R^{-1} = \{(y, x) \mid (x, y) \in R\}$$

$R^{-1}$  is a relation  $\subseteq B \times A$

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### 2.3: Functions and mappings

There is exactly one  $x$  such that  $P(x)$  holds:

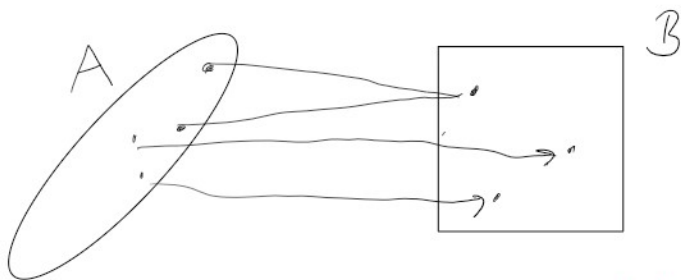
$$\exists! x P(x)$$

Definition a function (map) from  $A$  to  $B$  is a relation  $f \subseteq A \times B$  such that

$$\forall a \in A \exists! b \in B \text{ such that } afb.$$

In symbols:  $f: A \rightarrow B$

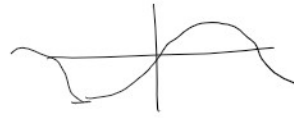
$$f(a) = b \iff afb$$



Terminology:  $f: A \rightarrow A$  such that  $f(a) = a \forall a \in A$  is called identity map (function).

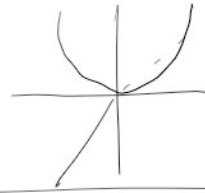
Example:  $f: \mathbb{R} \rightarrow \mathbb{R}$

$$f(x) = \sin x$$



•  $f: \mathbb{R}^2 \rightarrow \mathbb{R}$

$$f(x, y) = x^2 + y^2$$



Remark: Sometimes  $f: A \rightarrow B$  is defined on a subset  $D(f)$  of  $A$ , called the **domain of  $f$** .

e.g.  $f: \mathbb{R} \rightarrow \mathbb{R}$   $f(x) = \sqrt{x}$   
is defined on  $[0, \infty)$

Notation:  $f: A \rightarrow B$

$$X \subseteq A, Y \subseteq B$$

$$f(X) = \{f(x) \mid x \in X\} \text{ - image of } X$$

$$f^{-1}(Y) = \{x \in X \mid f(x) = y\} \text{ - preimage of } Y$$

Example:  $f(x) = \sin x$

$$f(\mathbb{R}) = [-1, 1]$$

$$f^{-1}([-1, 1]) = \mathbb{R}$$

$$f\left(\left[0, \frac{\pi}{2}\right]\right) = [0, 1]$$

Definition: a map  $f: A \rightarrow B$  is called

(1) **injective (one-to-one)** if

$$x, y \in A \quad x \neq y \Rightarrow f(x) \neq f(y)$$

(2) **surjective (onto)** if

$$\forall y \in B \quad \exists x \in A \text{ such that } f(x) = y$$



(3) bijective if it is surjective and injective  
(bijection)

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Example:  $f: [0, \infty) \mapsto [0, \infty)$   
 $f(x) = x^2$   
is a bijection

$f: \mathbb{R} \rightarrow \mathbb{R}$   
 $f(x) = \sin x$   
is not injective  
not surjective

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Some facts and observations

- $f: A \rightarrow B$  is surjective  $\Leftrightarrow f(A) = B$
  - $f: A \rightarrow B$  is injective  $\Leftrightarrow f^{-1}(\{y\})$  is a one-element set  $\forall y \in f(A)$ .
  - $f: A \rightarrow B$  is injective if and only if  $f^{-1}$  is function
  - $f: A \rightarrow B$  is a bijection  $\Leftrightarrow f^{-1}: B \rightarrow A$  is a bijection
- 

Composition of maps

$$\begin{array}{c} A \xrightarrow{f} B \xrightarrow{g} C \\ \quad \quad \quad \underbrace{\quad \quad \quad}_{g \circ f} \\ g \circ f : A \rightarrow C : x \mapsto g(f(x)) \end{array}$$

(Implicitly,  $f(A) \subseteq \text{domain of } g$ )

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- If  $f: A \rightarrow A$  is a bijection then  
 $f \circ f^{-1} = f^{-1} \circ f = \text{identity map}$
-

- If  $f, g$  are bijections then  $f \circ g$  is a bijection as well
- 

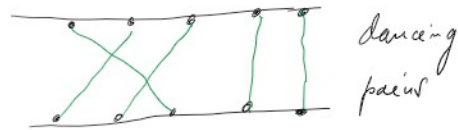
## 2.4 Cardinality of sets

- Given two sets  $A$  and  $B$  which one is bigger?
- What is an infinite set?

Motivation: We have girls and boys

$A$  - set of girls

$B$  - set of boys



Ask shall we dance?

If no one is left then  $A$  and  $B$  has the same size.

Formalization in the following definition

Definition We say that sets  $A$  and  $B$  have the same cardinality (or are equivalent) if there is a bijection

$$f: A \rightarrow B$$

In symbols  $|A| = |B|$ .

We say that cardinality of  $A$  is less or equal to cardinality of  $B$  if there is an injection

$$f: A \rightarrow B.$$

In symbols

$$|A| \leq |B|$$

---

We say that cardinality of  $A$  is strictly less than cardinality of  $B$  if cardinality of  $A$  is less or equal cardinality of  $B$  and  $A$  and  $B$  do not have the same cardinality.

In symbols

$$|A| < |B|$$

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Example  $A = \{1, 2, 3\}$

$$B = \{1, 2\}$$

Then cardinality of  $B$  is strictly less than cardinality of  $A$ .

$$|B| < |A|$$

Example  $|\mathbb{N}| = |E|$

$E =$  set of all even natural numbers

$$f: \mathbb{N} \rightarrow E$$

$$f(n) = 2n$$

$$g = f^{-1}: E \rightarrow \mathbb{N}$$

$$g(m) = \frac{m}{2}$$

---

Definition Let  $A$  be a set. Then  $A$  is finite

if there is no bijection of  $A$  onto its proper subset.

$A$  is infinite if it is not finite.

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- $A \neq \emptyset$  is finite  $\Leftrightarrow |B| < |A|$  for all proper subset  $B \subseteq A$

- $A \neq \emptyset$  is finite  $\Leftrightarrow |B| < |A|$  for all proper subset  $B \subseteq A$
  - $A$  is infinite  $\Leftrightarrow$  there is a proper subset  $B \subseteq A$  such that  $|A| = |B|$ .
- 

Examples •  $A = \{a_1, a_2, \dots, a_n\}$   
 $n \geq 1$

is finite

( $\# B < n$  for every proper subset  $B \subset A$ )

•  $\mathbb{N}$  is infinite

as  $|\text{even numbers}| = |\mathbb{N}|$

---

Proposition If  $A \subseteq B$  and  $A$  is infinite, then  $B$  is infinite.

Proof: Let  $C \subseteq A$  be a proper subset of  $A$  such that  $|C| = |A|$ .

Let  $f: A \rightarrow C$  be a bijection.

Define  $g: B = A \cup (B \setminus A) \rightarrow C \cup (B \setminus A)$   
 by formula

$$g(x) = \begin{cases} f(x) & x \in A \\ x & x \in B \setminus A \end{cases}$$

Then  $g$  is a bijection between  $B$  and its proper subset

$C \cup (B \setminus A)$

□

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Definition Let  $A$  be a set. **A sequence of elements of  $A$**   
is a map  $f: \mathbb{N} \rightarrow A$ .

interpretation:  $f \equiv (f(1), f(2), \dots)$   
 $\downarrow$  write  
 $(a_1, a_2, \dots) \equiv (a_n)_{n=1}^{\infty}$   
 $a_i \in A$

---

Observation: A set  $A$  is infinite  $\Leftrightarrow$  there is  
an injective sequence of elements of  $A$ .  
(i.e.  $\exists (a_1, a_2, \dots)$  such that  $a_i \neq a_j$  whenever  $i \neq j$ .)

Proof:  $\Rightarrow$   $A$  is infinite  $\Rightarrow \exists a_1 \in A$   
 $\Rightarrow \exists a_2, a_2 \neq a_1$  such that  $a_2 \in A$  and  $a_1 \neq a_2$   
(otherwise  $A = \{a_1\}$ )  
 $\Rightarrow \exists a_3 \in A$  such that  $a_3 \notin \{a_1, a_2\}$

This way we construct an injective sequence of elements of  $A$ .

$\Leftarrow$  Let  $(a_1, a_2, \dots)$  be an injective sequence in  $A$ .  
Then  $\{a_1, a_2, \dots\}$  is infinite and so  
 $A$  is infinite.  $\square$

$\{a_i\}$

---

It says that  $\mathbb{N}$  is the smallest infinite set  
Such sets will be called countable

Definition: We say that a set  $A$  is countable if  
it has the same cardinality as  $\mathbb{N}$ .  
An infinite set that are not countable are called  
uncountable.

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Observation: Infinite subset of a countable set is  
countable

Proof: Using suitable bijection one can suppose  
wlog that  $A \subseteq \mathbb{N}$ ,  $A$  - infinite.  
Any infinite subset  $A$  of  $\mathbb{N}$  is a range of  
increasing sequence  $\{l_1, l_2, l_3, \dots\}$   
of natural numbers.  
Then  $f: \mathbb{N} \rightarrow A$   
 $f(n) = l_n \quad \forall n = 1, 2, \dots$

---

Proposition: Let  $A$  be countable set and  $f: A \rightarrow B$ .

Then  $f(A)$  is either finite or countable

Proof: wlog  $A = \mathbb{N}$

Then  $f(A) = \{f(1), f(2), \dots\}$   
either finite or countable

---

Examples of countable sets:

•  $\mathbb{N}$

- $\mathbb{N}_0$
- $\mathbb{Z}$  ... rearrange as  
 $0, 1, -1, 2, -2, 3, -3$

Proposition

Let  $A$  and  $B$  be countable sets then

- $A \cup B$  is countable
- $A \times B$  is countable

Proof

(1)  $A = \{a_1, a_2, \dots\}$

$B = \{b_1, b_2, \dots\}$

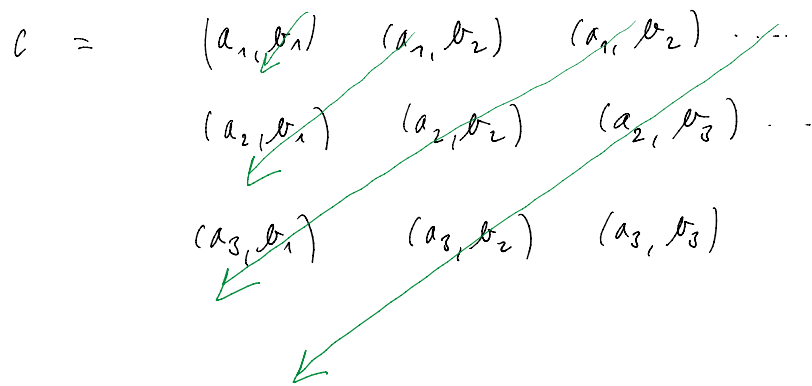
$A \cup B$  is infinite (as  $A \subset A \cup B$  is infinite)

$A \cup B = \{a_1, b_1, a_2, b_2, \dots\}$  is countable

(2)  $C = A \times B$  is infinite ( $\{ (a_i, b_j) \mid a_i \in A, b_j \in B \}$ )

$A = \{a_1, a_2, \dots\}$

$B = \{b_1, b_2, \dots\}$



→ gives rearrangement

Example:  $\mathbb{Q}$  is countable:

1.  $\mathbb{Q}$  is  $\mathbb{Z}$ -infinite

2.  $f: \mathbb{Z} \times \mathbb{Z} \setminus \{0\} \rightarrow \mathbb{Q}$   
 $(m, n) \mapsto \frac{m}{n}$

is a surjection.

### Cantor diagonal method

The set  $A$  of all infinite sequences of 0s and 1s is uncountable

Proof: By contradiction

Suppose that  $A$  is countable and so arrange it into a sequence

$s_1 =$	$s_1(1)$	$s_1(2)$	$s_1(3)$	...
$s_2 =$	$s_2(1)$	$s_2(2)$	$s_2(3)$	...
$s_3 =$	$s_3(1)$	$s_3(2)$	$s_3(3)$	...
	...			

} all elements



Pick up diagonal elements  
and create new sequence.

Denote  ${}^?0 = 1$   
 ${}^?1 = 0$

and put

$$s = ({}^?s_1(1), {}^?s_2(2), {}^?s_3(3), \dots)$$

then  $s$  is sequence of 0's and 1's such that  
it differs from all sequences  $s_1, s_2, \dots$ .  
This is a contradiction.

Corollary 1  $\mathcal{P}(\mathbb{N})$  is uncountable

Proof  $c \in \mathcal{P}(\mathbb{N}) \leftrightarrow \chi_c \leftrightarrow$  sequence of 0,1

Corollary 2  $\mathbb{R}$  is uncountable

Proof: Using binary expansion

CANTOR THEOREM

$$|A| < |\mathcal{P}(A)|$$

It means that there is no "largest infinity".

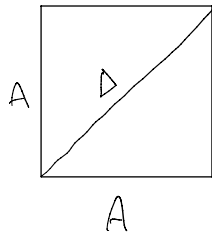
## 2.5. Equivalence

Definition a relation  $R$  on the set  $A$  is a relation from  $A$  to  $A$  (i.e. subset of  $A \times A$ )

a relation on  $A$  is called

- reflexive if  $aRa \quad \forall a \in A$
- symmetric if  $aRb \Rightarrow bRa \quad \forall a, b \in A$
- antisymmetric if  $aRb \wedge bRa \Rightarrow a=b \quad \forall a, b \in A$
- transitive if  $aRb \wedge bRc \Rightarrow aRc \quad \forall a, b, c \in A$

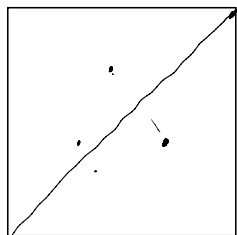
reflexivity



$\Delta = \text{diagonal}$

$\Delta \subseteq R \Leftrightarrow R$  is reflexive

symmetry



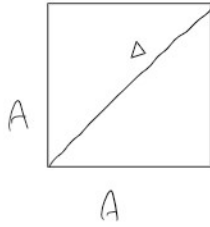
$R$  is symmetric  $\Leftrightarrow$   
it is symmetric w.r.t.  
 $\Delta$ .

$\Leftrightarrow R = \tilde{R}^{-1}$

antisymmetry



antisymmetric  $\Leftrightarrow R \cap \tilde{R}^{-1} \subseteq \Delta$   
"all symmetric pairs are on the diagonal"



antisymmetric  $\Leftrightarrow R \cap R^c = \Delta$   
 "all symmetric points connect"

Definition Let  $R$  be a relation on set  $A$ .

$R$  is called equivalence if it is reflexive, symmetric, and transitive.

Motivating example is equality  $a=b$ , equality of some derived quantity like weight of people, etc.

Definition Let  $\sim$  be an equivalence on a set  $A$ .

For every  $a \in A$  we define its equivalence class

$$[a]_{\sim} = \{x \in A \mid x \sim a\}$$

The set of all equivalence classes is called the quotient set and denoted

$$A/\sim = \{[a] \mid a \in A\}$$

Examples of equivalence

- trivial equivalence on  $A$

$$a \sim b \stackrel{\text{def}}{=} a=b$$

$$[a] = \{a\}$$

- $A = \mathbb{Z}$ ;  $n \in \mathbb{N}$

$x \sim y \stackrel{\text{def}}{=} x - y$  is divisible by  $n$

Later we shall talk about congruence

$x \sim y$  if, and only if,

$x$  and  $y$  have the same remainder when divided by  $n$ , i.e.

$$x = ln + r$$

$$y = kn + r$$

$$l, k \in \mathbb{Z} \quad r \in \{0, 1, \dots, n-1\}$$

$\sim$  is an equivalence:

reflexivity:  $a \sim a$  as  $a - a = 0$  is divisible by  $n$

symmetry:  $a \sim b$  then  $a - b = km \quad k \in \mathbb{Z}$

$$\Rightarrow b - a = (-k)m \Rightarrow b \sim a$$

transitivity  $a \sim b \quad b \sim c \stackrel{?}{\Rightarrow} a \sim c$

$$\begin{array}{l} b - a = km \\ c - b = lm \\ k, l \in \mathbb{Z} \end{array} \left\{ \begin{array}{l} \Rightarrow c - a = c - b + b - a \\ = lm + km = \\ = (m+k)m \\ \downarrow \\ \text{integer} \end{array} \right. \Rightarrow a \sim c$$

Equivalence classes  $n = 2$

$[0] = \text{even numbers}$

$[1] = \text{odd numbers}$

$$([2] = [0])$$

general  $n$  ... congruence classes

$$[0] = \{mK \mid K \in \mathbb{Z}\}$$

$$[1] = \{mK+1 \mid K \in \mathbb{Z}\}$$

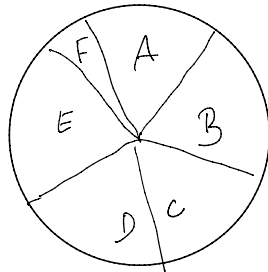
$$[2] = \{mK+2 \mid K \in \mathbb{Z}\}$$

⋮

$$\{m-1\} = \{nk + m - 1 \mid k \in \mathbb{Z}\}$$

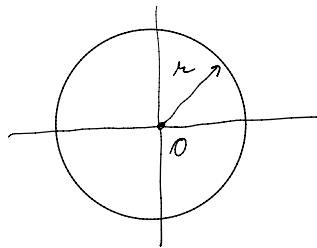

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- $A =$  all students in class  
 $x \sim y$  if they have the same evaluation



- Set of all points  $(x, y) \in \mathbb{R}^2$  having the same distance from zero

Equivalence classes:  
 infinitely many



- $X$  - set  
 Relation  $\sim$  on  $\mathcal{P}(X)$ :  $A \sim B \stackrel{\text{def}}{\equiv} |A| = |B|$

• It is equivalence.

• Equivalence classes  $\{\emptyset\}$ , one-point subsets, two-point subset

•  $X$  is finite  $\Leftrightarrow \{X\}$  is an equivalence class

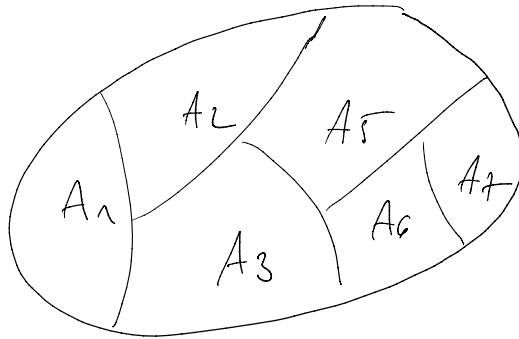
- In all examples above we can see that equivalence classes are non-empty, disjoint, covering  $A$ . This leads to the following definition

Definition : Let  $A$  be a non-empty set.

a partition of  $A$  is a subset  $\mathcal{P}$  of  $\mathcal{P}(A)$  such that the following conditions hold

- (1) Each element of  $\mathcal{P}$  is non-empty
  - (2) Two different elements of  $\mathcal{P}$  are disjoint
  - (3) The union of all elements in  $\mathcal{P}$  is the whole set  $A$
- 

finite partition



$\forall i, j :$

$$A_i \neq \emptyset$$

$$A_i \cap A_j = \emptyset \text{ if } i \neq j$$

$$A_1 \cup A_2 \cup A_3 \cup A_4 \cup A_5 \cup A_6 \cup A_7 = A$$

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(\*) Lemma Let  $\sim$  be equivalence on the set  $A$ .

Then the following statements hold

$$(1) \quad a \sim b \Leftrightarrow [a] = [b]$$

$$(2) \quad a \text{ is not equivalent to } b \Rightarrow [a] \cap [b] = \emptyset$$

Proof (1) Suppose  $a \sim b$ . Take  $x \in [a]$ . It means that  $x \sim a$ . But  $a \sim b$  and so by transitivity of  $\sim$  we have that  $x \sim b$  i.e.  $x \in [b]$ . Therefore  $[a] \subseteq [b]$ .

Exchanging  $a \leftrightarrow b$  we see that  $[b] \subseteq [a]$  and so  $[b] \subseteq [a]$ . Hence  $[a] = [b]$ .

The reverse implication is obvious.

(2) By contradiction. Suppose  $[a] \cap [b] \neq \emptyset$  and  $a$  not equivalent to  $b$ . Take  $x \in [a] \cap [b]$ .

Then  $a \sim x, x \sim b \Rightarrow a \sim b$  contradiction.

---

Theorem: Let  $A$  be a non-empty set.

There is the following one-to-one correspondence between equivalence relations on  $A$  and partitions of  $A$

(1) If  $\sim$  is a relation, then  $A/\sim$  is a partition on  $A$

(2) If  $\mathcal{P}$  is a partition of  $A$ , then the relation

$$x \sim y \stackrel{\text{def}}{\equiv} \exists P \in \mathcal{P} \text{ such that } x, y \in P$$

is an equivalence relation.

Proof

- (1)
- Each  $[a] \neq \emptyset$  as  $a \sim a$  and so  $a \in [a]$
  - By Lemma (\*)  $[a] \neq [b] \Rightarrow a$  is not equivalent to  $b$   
 $\Downarrow$   
 $[a] \cap [b] = \emptyset$
  - Each  $a \in A$  is in  $[a]$ .  
So  $A \subseteq \bigcup_{a \in A} [a]$

(2) Let  $\mathcal{P}$  be partition of  $A$ .

$$x \sim y \equiv x, y \in P \text{ for some } P \in \mathcal{P}.$$

Reflexivity: As  $\mathcal{P}$  is covering  $\exists P \in \mathcal{P}$   $a \in P$ .  
Therefore  $a \sim a$

Symmetry: obvious

Transitivity

$a \sim b$	i.e.	$\exists P_1 \in \mathcal{P}$	$a, b \in P_1$
$b \sim c$	i.e.	$\exists P_2 \in \mathcal{P}$	$a, b \in P_2$

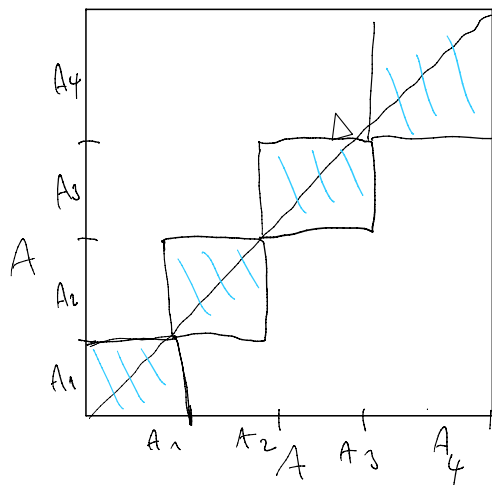


It implies  $b \in P_1 \cap P_2$  so  $P_1 \cap P_2 \neq \emptyset$ .  
 But  $P$  is a disjoint system and so  $P_1 = P_2$   
 which says that  $a \sim c$

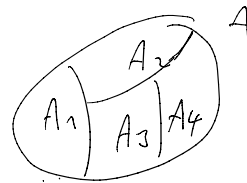
1-1 correspondence follows from the fact that  
 $a \sim b \Leftrightarrow [a] = [b]$  in algebra  $(\mathcal{A})$ .

---

Illustration



$A \times A$

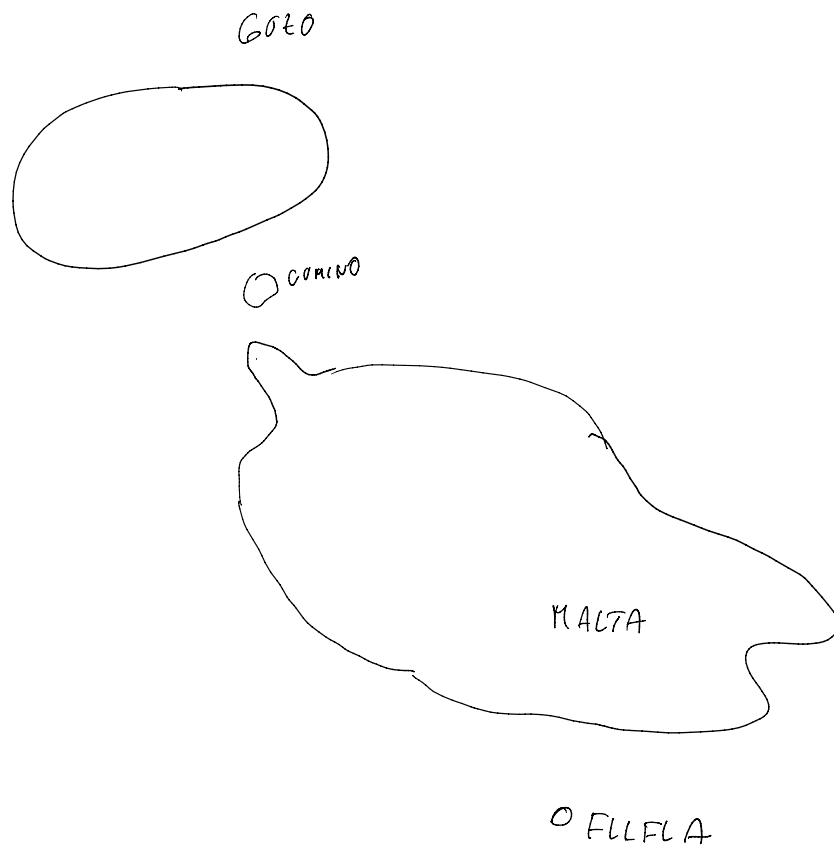


$$\mathcal{R} = (A_1 \times A_1) \cup (A_2 \times A_2) \cup (A_3 \times A_3) \cup (A_4 \times A_4)$$


---

Example

# MALTA ARCHIPELAGO



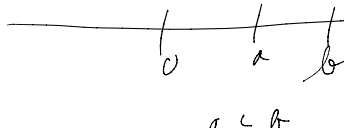
$A = \text{MALTA}$

$x, y \in A \quad x \sim y \stackrel{\text{def}}{=} \text{we can get from } x \text{ to } y \text{ without swimming}$

What is  $A/\sim$ ?

---

## 2.6. Ordering

Abstraction of  $(\mathbb{R}, \leq)$ :   
 $a \leq b$

Definition A relation  $R$  on a set  $A$  is called partial order (ordering, order) if it is reflexive, antisymmetric and transitive.

---

In symbols:  $a R b \equiv a \leq b$

---

Pair  $(A, \leq)$  is called partial ordered set (POSET).

---

## Examples

- $(\mathbb{R}, \leq)$  standard order  
(any two elements are comparable  $\equiv$  total order)

- $\mathbb{N}$  with the order  $a \leq_a b \equiv \begin{matrix} a \text{ divides } b \\ \text{of} \end{matrix}$

- $\mathcal{P}(A)$  - power set of  $A$ . Relation  $\subseteq$  (inclusion) is a partial order on  $A$

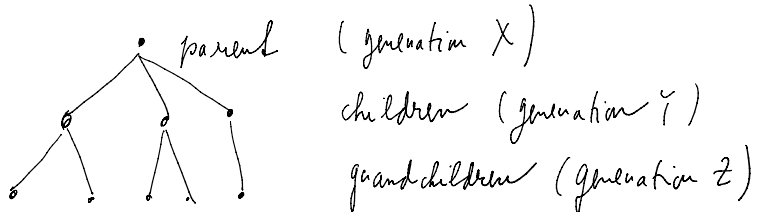
- "Family tree"

$A =$  all people

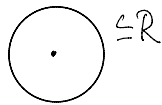
$x \leq y$  if  $y$  is ancestor of  $x$

(we consider a person to be ancestor of himself (himself))

part of "the tree"



- 
- $A = \mathbb{R}^2$   $(x, y) \leq (x', y')$  if  $x^2 + y^2 \leq x'^2 + y'^2$



not antisymmetric

so not order

---

Definition Let  $(P, \leq)$  be a poset and  $S \subseteq P, x \in P,$

- we say that  $x$  is an upper bound (res. lower bound) of  $S$  if

$$s \leq u \quad \forall s \in S$$

$$(\text{sup. } u \in S \quad \forall s \in S)$$

- If  $u$  is an upper bound of  $S$  and  $u \in S$ , then  $u$  is called the largest element of  $S$ .  
Analogously we define the smallest element of  $S$ .

- An element  $u \in S$  is called maximal in  $S$  if  $s \succ u \Rightarrow s = u \quad \forall s \in S$

Analogously we define minimal element of  $S$

- We say that  $u$  is a least upper bound (l.u.b.) of  $S$  if the following two conditions are satisfied

(1)  $u \succ s \quad \forall s \in S$  (upper bound)

(2) if  $y \succ s \quad \forall s \in S$ , then  $y \succ u$   
(least upper bound)

terminology: l.u.b.  $\equiv$  supremum

In symbols

$$u = \sup S$$

$$u = \bigvee S$$

$$u = s_1 \vee s_2 \vee \dots \vee s_n$$

$$\text{if } S = \{s_1, s_2, \dots, s_n\}$$

Typically:  $S = [a, b]$

$$\sup S = a \vee b$$

- We say that  $u$  is a greatest lower bound (g.l.b.) if the following holds

(1)  $u \leq s \quad \forall s \in S$

(2) if  $y \leq s \quad \forall s \in S$ , then  $y \leq u$

Terminology: g.l.b  $\equiv$  infimum

$$u = \inf S$$

$$u = s_1 \wedge s_2 \wedge \dots \wedge s_n \quad \text{if } S = \{s_1, s_2, \dots, s_n\}$$

$$u = a \wedge b \quad \text{if } S = \{a, b\}.$$

---

### Examples

•  $(\mathbb{R}, \leq) \quad S = [0, 1)$

$$\sup S = 1$$

$S$  does not have the largest element

- $\mathcal{P}(\{1, 2, 3\}) \setminus \{1, 2, 3\}$  with set theoretic inclusion  
 $\{1, 2\}, \{2, 3\}, \{1, 3\}$  are maximal, largest element does not exist.

- $\mathcal{P} = \mathcal{P}(A)$  with set theoretic inclusion

$$X, Y \in \mathcal{P}(A)$$

$$X \vee Y = X \cup Y \quad (\text{union})$$

$$X \wedge Y = X \cap Y \quad (\text{intersection})$$

- $\mathcal{P} =$  set of all subspaces of a linear space  $X$ .

Order is given by set theoretic inclusion. Then for  $X, Y \in \mathcal{P}$  we have

$$X \vee Y = \text{span}(X \cup Y)$$

$$X \wedge Y = X \cap Y$$

- $\mathbb{N}$  with  $n \leq m$  if  $n|m$

$\text{sup}(n, m) =$  the smallest common multiple of  
 $n$  and  $m$

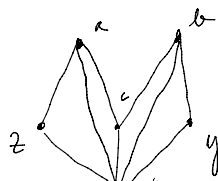
e.g.  $\text{sup}\{4, 6\} = 12$

$\text{inf}(n, m) =$  greatest common divisor  
of  $n$  and  $m$

$$\text{inf}(3, 4) = 1$$

$$\text{inf}(4, 6) = 2$$

- $(P, \leq)$  is given by the diagram



then  $\{a, b\}$  has upper bound, so  $\text{sup}\{a, b\}$   
does not exist.

$$\text{inf}\{a, b\} = c$$

Definition a poset  $(P, \leq)$  is called a lattice

if there is  $a \vee b$  and  $a \wedge b$  for all  $a, b \in P$ .

Example:  $(\mathbb{R}, \leq)$  is a lattice

4  
L  
L  
L